

Template and reporting guidelines for United Nations agencies and Intergovernmental organizations

(English only)



prais

July 2012

UNCCD reporting and review process 2012–2013

Table of Contents

	<i>Page</i>
I. Impact indicators.....	3
Strategic objective 4.....	3
1. Indicator SO-4-1.....	3
2. Indicator SO-4-6.....	4
3. Indicator SO-4-7.....	5
II. Performance indicators.....	6
A. Operational objective 1.....	6
1. Indicator CONS-O-1.....	6
2. Indicator CONS-O-3.....	9
3. Indicator CONS-O-4.....	12
B. Operational objective 2.....	15
1. Indicator CONS-O-6.....	15
2. Indicator CONS-O-7.....	18
C. Operational objective 3.....	21
1. Indicator CONS-O-8.....	21
2. Indicator CONS-O-11.....	24
D. Operational objective 4.....	25
Indicator CONS-O-13.....	25
E. Operational objective 5.....	27
1. Indicator CONS-O-14.....	27
2. Indicator CONS-O-17.....	30
III. Financial flows.....	33
A. Standard Financial Annex.....	33
B. Programme and Project Sheet.....	36
IV. Additional information.....	40
V. Best practices.....	45
Best practices on sustainable land management technologies, including adaptation.....	46

I. Impact indicators

Strategic objective 4

1. Indicator SO-4-1

Strategic Objective 4		To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors				
Impact indicator SO-4-1 for expected impact 4.1 Increased financial, technical and technological resources are made available to affected developing country Parties, and where appropriate Central and Eastern European countries, to implement the Convention						
Percentage change of multilateral donor contributions for UNCCD-related activities						
Understanding of the indicator						
It provides an indication of the trend in the supply of finance for DLDD-related investments and other UNCCD-related activities by multilateral DFIs						
Data needed	<ul style="list-style-type: none"> ▪ Multilateral ODA marked with UNCCD Rio Markers ▪ DLDD-related programmes and projects using multilateral DFIs ▪ Convention-related financial commitments through multilateral DFIs 					
Data sources <i>(indicative only)</i>	<ul style="list-style-type: none"> ▪ PRAIS (financial annexes) ▪ FIELD (portfolio reviews, financial data, funding trends) (to be used when data is not available in the PRAIS system, and/or for cross referencing and validity checks) ▪ OECD Creditor Reporting System (to be used when data is not available in the PRAIS system, and/or for cross referencing and validity checks) ▪ CRIC performance reviews of OO5 and analyses of financial flows ▪ Relevant databases and publications on multilateral DFIs and other authoritative entities (to be used when data is not available in the PRAIS system, and/or for cross referencing and validity checks) 					
Check the glossary for	Official Development Assistance					
A. Nominal amount (USD) of financial commitments for Convention-related objectives						
	By country		By region		Global	Total
2008						
2009						
2010	Country	Amount	Region	Amount	Amount	Amount
2011	Country	Amount	Region	Amount	Amount	Amount
2012						
2013						
2014						
2015						
2016						
2017						
2018						
Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.					
	1.					
	2.					
	3.					

2. Indicator SO-4-6

Strategic Objective 4		To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors				
Impact indicator SO-4-6 for expected impact 4.2 (Enabling policy environments are improved for UNCCD implementation at all levels)						
Number and type of legal and regulatory frameworks, economic incentives or other mechanisms securing or facilitating the transfer of funds for the implementation of the Convention at all levels.						
Understanding of the indicator						
It provides a measure of the efforts made by Convention stakeholders to facilitate the implementation of the Convention.						
Data needed	<ul style="list-style-type: none"> ▪ Laws and regulations ▪ Economic and financial measures (e.g. fiscal rules, tax benefits, credit lines and borrowing rules, etc.) ▪ Cooperation frameworks (e.g. agreements, memoranda of understanding, contracts, etc.) ▪ Sectoral policies (e.g. trade, marketing, property rights, business development, etc.) ▪ Convention-specific mechanisms 					
Data sources <i>(indicative only)</i>	<ul style="list-style-type: none"> ▪ Public records of Convention stakeholders ▪ Relevant databases and publications and other authoritative entities (to be used when data is not available in the PRAIS system, and/or for cross referencing and validity checks) ▪ PRAIS (CONS-O-6, CONS-O-14, CONS-O-18) 					
Check the glossary for	Incentive					
Number of mechanisms promoted/implemented by your organization to facilitate the mobilization of resources for the implementation of the Convention, by type						
	Laws and regulations	Economic and financial incentives	Cooperation frameworks	Sectoral policies	Total	
2008						
2009						
2010						
2011						
2012						
2013						
2014						
2015						
2016						
2017						
2018						
Qualitative assessment	Description of mechanisms					
	A	Laws and regulations				
	B	Economic and financial incentives				
	C	Cooperation frameworks				
	D	Sectoral policies				
	Geographical level of application					
		International	Regional	Subregional	National	Local
	A					
	B					
	C					
	D					
Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>					
	1.					
	2.					
	3.					

3. Indicator SO-4-7

Strategic Objective 4		To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors			
Impact indicator SO-4-7 for expected impact 4.2 (Enabling policy environments are improved for UNCCD implementation at all levels)					
Clear delegation of institutional responsibilities for the implementation of the Convention at all levels					
Understanding of the indicator					
It provides an indication of the effectiveness of institutional arrangements for the implementation of the Convention with regard to the resource mobilization process					
Data needed	<ul style="list-style-type: none"> ▪ Evidence of institutional arrangements, instruments and mechanisms that facilitate resource mobilization, or the lack thereof ▪ Best practices in resource mobilization 				
Data sources <i>(indicative only)</i>	<ul style="list-style-type: none"> ▪ Public records of Convention stakeholders ▪ Relevant databases and publications of authoritative entities ▪ PRAIS (best practices on finance and resource mobilization) 				
Check the glossary for	N.A.				
Institutional set up, responsibilities, and arrangements made by your organization to facilitate the implementation of the Convention					
	International level	Regional level	Subregional level	National level	Local level
2008					
2009					
2010	<ul style="list-style-type: none"> • very good • good • fair • poor • very poor 				
2011	<ul style="list-style-type: none"> • very good • good • fair • poor • very poor 				
2012					
2013					
2014					
2015					
2016					
2017					
2018					
Qualitative assessment	Description of institutional arrangements				
	A	International			
	B	Regional			
	C	Subregional			
	D	National			
	E	Local			
Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>				
	1.				
	2.				
	3.				

II. Performance indicators

A. Operational objective 1

1. Indicator CONS-O-1

Operational Objective 1:		Advocacy, awareness raising and education										
Performance indicator CONS-O-1 for Outcome 1.1												
Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.												
Understanding of the indicator												
At the national, regional and global level, the indicator measures the performance of Convention-related communication strategies, in particular, whether DLDD issues and/or DLDD synergies with climate change and biodiversity are being communicated and if so, whether the communication is considered to be effective. Effectiveness is assessed through the appraisal of the media campaigns carried out; the assumption is that the stronger the media campaigns on DLDD issues and synergies, the higher the probability of passing the messages on to the target audiences. The focus of the indicator is on information activities specifically dedicated to DLDD issues and/or DLDD synergies with climate change and biodiversity organized, produced and technically and/or financially supported by the reporting UN/IGO. Other reporting entities will complement the information provided by UN agencies and IGOs by reporting on Convention-related communication strategies at national, subregional, regional and global levels.												
Data needed	<ul style="list-style-type: none"> ▪ Information on events/media specifically addressing DLDD and/or DLDD synergies with climate change and biodiversity ▪ Events organized and media produced by the reporting UN/IGO or organized/produced by third parties not directly reporting to the Convention (TV channels, newspaper editors, etc.) with the technical and/or financial support of the reporting UN/IGO shall be considered. 											
Data sources <i>(indicative only)</i>	Attendance list of events (meetings, workshops, seminars), international and national media (newspapers) advertising the events, the Internet, the organizers of the events, programmes/projects' final reports, relevant UN agencies and IGOs databases.											
Check the glossary for	'Information events', 'Media products', 'Participant'											
Check the reporting manual for	'How can the number of information events and estimated number of participants in information events be determined?', 'How can the number of media products be determined?', 'How can the proportion of the population which is informed about DLDD and/or DLDD synergies with climate change and biodiversity be estimated?'											
<i>Referring to the definition of 'information events' count the events organized (and the estimated participants). Aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Distinguish those events having a global scope, i.e. covering the globe or two (or more) regional annexes.</i>												
Number of information events							Estimated number of participants in the information events					
Level	regional Annexes					global scope	regional Annexes					global scope
Year	1	2	3	4	5	global scope	1	2	3	4	5	global scope
2008												
2009												
2010												
2011												
2012												
2013												
2014												
2015												
2016												
2017												
2018												
<i>Media products have been grouped into: (a) Paper media products (articles, press releases, leaflets, flyers, brochures and comics, etc.); (b) radio and television programmes. Contact the producers to get an estimate of the persons reached by the corresponding media product. Aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Distinguish those products having a global scope, i.e. covering the globe or at least two (or more) regional annexes.</i>												
Estimated number of persons reached by media products, by key stakeholders and by geographical level												

Year	Paper media products					Radio and TV									
	regional Annexes					global scope	regional Annexes					global scope			
	1	2	3	4	5		1	2	3	4	5				
2008															
2009															
2010															
2011															
2012															
2013															
2014															
2015															
2016															
2017															
2018															
Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.														
	1.														
	2.														
	3.														
Overall target	By 2018, 30 per cent of the global population is informed about DLDD and/or DLDD synergies with climate change and biodiversity.														
Contribution to the target	-														
Qualitative assessment	Does your organization have a communication strategy addressing DLDD and/or DLDD synergies with climate change and biodiversity?														
	<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>												Yes		No
	Yes														
	No														
	If yes, does the implementation of this communication strategy complement the implementation of the UNCCD Comprehensive Communication Strategy?														
	<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>												Yes		No
	Yes														
	No														
	If no, is your organization implementing the UNCCD Comprehensive Communication Strategy?														
	<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>												Yes		No
	Yes														
	No														
	Is your organization implementing activities relating to the United Nations Decade for Deserts and the Fight Against Desertification (UNDDD)?														
	<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>												Yes		No
	Yes														
	No														
	Is your organization providing financial and technical support for the elaboration and implementation of the UNDDD programme?														
	<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>												Yes		No
	Yes														
	No														

2. Indicator CONS-O-3

Operational Objective 1:		Advocacy, awareness raising and education												
Performance indicator CONS-O-3 for Outcome 1.3														
Number of civil society organizations (CSOs) and science and technology institutions (STIs) participating in the Convention processes.														
Understanding of the indicator														
At the national, regional and/or global level, the indicator measures the level of participation of CSOs and STIs in DLDD-related programmes and projects supported by the reporting UN agencies and/or IGO. The indicator will outline whether the active involvement of these stakeholders in national/regional/global-based initiatives increases over time and whether programmes/projects are valid tools for the engagement of, and receiving contributions from, CSOs and STIs at the field level. Other reporting entities will complement the information provided by UN agencies and IGOs by reporting on the involvement of CSOs and STIs at the national, subregional, regional and global levels; additionally, the secretariat and the GM will report on the involvement of CSOs and STIs at the institutional level.														
Data needed	A list of the organizations involved in the programmes/projects financially supported by the reporting UN/IGO as reported in the PPSs													
Data sources (indicative only)	Information on CSOs and STIs involved in the DLDD-related programmes and projects supported by the reporting UN agencies and/or IGO; PPSs submitted to the UNCCD as part of the reporting exercise.													
Check the glossary for	'STIs', 'CSOs', 'PPS', 'Convention processes'													
Check the reporting manual for	'Which civil society organizations (CSOs) involved in DLDD-related programmes/projects should be counted?'													
<p><i>Consider the PPSs of those programmes/projects implemented at the national, regional and/or global level for which your organization has made a financial commitment during the reporting period. In these PPSs it is specified the number of CSOs and the number of STIs involved in each programme/project. Add these numbers and aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional annex can be found on the UNCCD website. Programmes/projects having a global scope are those covering the globe or at least two (or more) regional annexes.</i></p>														
	Number of CSOs involved in DLDD-related programmes/projects financially supported by your organization						Number of STIs involved in DLDD-related programmes/projects financially supported by your organization							
	regional Annexes					global scope	regional Annexes					global scope		
Year	1	2	3	4	5		1	2	3	4	5			
2008														
2009														
2010														
2011														
2012														
2013														
2014														
2015														
2016														
2017														
2018														
Sources of information	Specify the names of these organizations, indicating whether they are involved in programmes/projects supported by the reporting UN/IGO													
Overall target	A steady growth in the participation of CSOs and STIs in the Convention processes is recorded along the implementation period of The Strategy.													

Contribution to the target

At the time of reporting, is your organization undertaking concrete initiatives to increase the participation of CSOs and STIs in DLDD-related programmes and projects?

	Yes
	No

If yes, provide a short description of actions taken by your organization at the regional and/or global level to promote participation by CSOs and STIs in the Convention processes (max 100 words).

Qualitative assessment	Specify the reasons for the increasing and/or decreasing trend of the participation of CSOs and STIs to DLDD-related programmes/projects supported/implemented by your organization (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).	
	Reasons for increasing	<i>Level of importance</i>
	Increased networking and collaboration opportunities with CSOs	
	Increased networking and collaboration opportunities with STIs	
	Increased interest of your organization in working with CSOs	
	Increased interest of your organization in working with STIs	
	Strengthened lobbying capacity of CSOs	
	Strengthened lobbying capacity of STIs	
	Increased availability of funding for CSOs	
	Increased availability of funding for STIs	
Other (specify): (max 50 words)		
Reasons for decreasing for CSOs	<i>Level of importance</i>	
Engagement of CSOs is not a priority for your organization		
Worsening of networking and collaboration linkages with CSOs		
Diminishing funding availability		
Other (specify): (max 50 words)		
Reasons for decreasing for STIs	<i>Level of importance</i>	
Engagement of STIs is not a priority for your organization		
Decreasing interest of national STIs in DLDD-related topics		
Diminishing funding availability		
Other (specify): (max 50 words)		

3. Indicator CONS-O-4

Operational Objective 1:		Advocacy, awareness raising and education					
Performance indicator CONS-O-4 for Outcome 1.3							
Number and type of DLDD-related initiatives of civil society organizations (CSOs) and science and technology institutions (STIs) in the field of education.							
Understanding of the indicator							
At the national, regional or global level, the indicator measures the number of DLDD-related initiatives supported by the reporting UN/IGO and undertaken by CSOs and STIs in the education sector. The assumption is that the higher the number of DLDD-related education initiatives undertaken by these stakeholders, the stronger their interest in addressing DLDD problems. This indicator focuses on “education” because “awareness” and “advocacy” are already measured through indicators CONS-O-1 and CONS-O-2, respectively. Other reporting entities will complement the information provided by UN and IGOs by reporting on the involvement of CSOs and STIs at the national, subregional, regional and global levels.							
Data needed	<ul style="list-style-type: none"> Information on initiatives undertaken in the field of education, technically and/or financially supported by the reporting UN/IGO, that may be found in: written communications by CSOs and STIs to the reporting UN/IGO; contractual and/or programme/project-related documents; records of academic bodies and their curricula, Internet resources made available by CSOs and STIs. Only initiatives in the field of education taken in the country and directly relating to DLDD issues are to be considered. 						
Data sources <i>(indicative only)</i>	CSOs and STIs operating at the national, regional and global level						
Check the glossary for	‘CSOs’, ‘STIs’, ‘Education initiatives’						
Check the reporting manual for	‘Which civil society organizations (CSOs) involved in DLDD-related education initiatives should be counted?’						
<p><i>Identify the relevant CSOs and/or STIs, specifying whether they undertake initiatives in the formal and/or non-formal education sector (yes/no). Add the ‘yes’ and aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Initiatives having a global scope are those covering the globe or at least two (or more) regional annexes</i></p>							
Number of DLDD-related initiatives undertaken by CSOs and technically and/or financially supported by your organization							
	Level	regional Annexes					global scope
Year		1	2	3	4	5	
2008							
2009							
2010							
2011							
2012							
2013							
2014							
2015							
2016							
2017							
2018							
Number of DLDD-related initiatives undertaken by STIs and technically and/or financially supported by your organization							
	Level	regional Annexes					global scope
Year		1	2	3	4	5	
2008							
2009							
2010							
2011							
2012							
2013							
2014							
2015							
2016							
2017							
2018							
Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.						
	1. _____						
	2. _____						
	3. _____						

Overall target	A steady growth in the number of DLDD-related education initiatives undertaken by CSOs and science and technology institutions is recorded along the implementation period of The Strategy				
Contribution to the target	<p data-bbox="423 233 1421 289"><i>At the time of reporting, is your organization undertaking concrete initiatives to increase the delivery of DLDD-related initiatives in the education sector by CSOs and STIs?</i></p> <table border="1" data-bbox="773 289 1073 344"> <tr> <td data-bbox="773 289 873 317"></td> <td data-bbox="873 289 1073 317">Yes</td> </tr> <tr> <td data-bbox="773 317 873 344"></td> <td data-bbox="873 317 1073 344">No</td> </tr> </table> <p data-bbox="423 373 1421 449"><i>If yes, provide a short description of actions taken by your organization at the national, regional or global level to increase the number of DLDD-related initiatives of CSOs and STIs in the field of education (max 100 words).</i></p> <div data-bbox="545 480 1242 550" style="border: 1px solid black; height: 33px; width: 429px;"></div>		Yes		No
	Yes				
	No				

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of DLDD-related education initiatives undertaken by CSOs and STIs with the support of your organization (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).

Reasons for increasing	<i>Level of importance</i>
Increased networking and collaboration opportunities with CSOs	
Increased networking and collaboration opportunities with STIs	
Increased availability of funding from your organization for supporting education-related initiatives	
Increased awareness of DLDD-related problems and of the need for action	
Increased knowledge of DLDD-related topics and enhanced capacities of STIs/CSOs in delivering DLDD-related education initiatives	
The policies within your organization are more supportive of education initiatives	
Other (specify): (max 50 words)	

Reasons for decreasing for CSOs	<i>Level of importance</i>
Insufficient awareness and knowledge by CSOs of DLDD-related issues	
Decreasing networking and collaboration opportunities	
Diminishing funding availability	
Other (specify): (max 50 words)	

Reasons for decreasing for STIs	<i>Level of importance</i>
Science and technology institutions are more focussed on research activities than on education and training	
Decreasing networking and collaboration opportunities	
Diminishing funding availability	
Other (specify): (max 50 words)	

B. Operational objective 2

1. Indicator CONS-O-6

Operational Objective 2:	Policy framework
Performance indicator CONS-O-6 for Outcome 2.4	
Number of partnership agreements established within the framework of the Convention between developed country Parties/United Nations and IGOs and affected country Parties.	
Understanding of the indicator	
The indicator measures the existence of policy-enabling environments by quantifying the number of partnership agreements established between UN and IGOs on one side and affected country Parties on the other, on a bilateral basis but always and only within the framework of UNCCD. Partnership agreements allow the mainstreaming of UNCCD objectives and SLM interventions into the development cooperation programmes and projects of developed country Parties; they also provide affected country Parties with a regular flow of resources according to written terms and conditions. The assumption is that the higher the number of partnerships agreements on which affected country Parties may count, the higher their chance of relying on diverse resources to tackle the objectives of the Convention. Developed country Parties will complement the information provided by UN and IGOs by reporting on partnership agreements established with affected country Parties on a bilateral basis.	
Data needed	<ul style="list-style-type: none"> ▪ Records of partnership agreements concluded in a written form. ▪ Only partnership agreements directly relating to the UNCCD and concluded within the framework of the Convention, and those established under other frameworks, are to be considered. ▪ Only agreements under implementation during the reporting period should be considered.
Data sources <i>(indicative only)</i>	Relevant UN agencies and IGOs databases
Check the glossary for	'partnership agreement', 'integrated investment framework', 'IFS'

Number of partnership agreements concluded between your organization and affected country Parties established under the framework of UNCCD under implementation during the reporting period

Year	Relating to integrated investment frameworks established within the IFS devised by the GM	Relating to integrated investment frameworks established within other integrated financing strategies	<u>Not</u> relating to integrated investment frameworks
2008			
2009			
2010			
2011			
2012			
2013			
2014			
2015			
2016			
2017			
2018			

List the affected country(ies) and/or UNCCD subregion(s) and region(s) with which your organization has one or more partnership agreement(s) under implementation during the reporting period (add as many rows as necessary).

1. _____
2. _____
3. _____

Sources of information	<i>List the partnership agreements concluded between your organization and affected countries and specify whether they were established within the UNCCD framework or some other framework (and in the case of latter, specify which one).</i>												
	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>												
	1.												
	2.												
3.													
Overall target	By 2014, at least two UNCCD-related partnership agreements are active in each affected country Party.												
Contribution to the target	<i>Does your organization have plans for concluding one or more partnership agreement(s) within the framework of the Convention with one or more affected country Party(ies)?</i>												
	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No								
	<input type="checkbox"/>	Yes											
	<input type="checkbox"/>	No											
<i>If yes, when?</i>	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>2010–2011</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2012–2013</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2014–2015</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2016–2017</td> </tr> </table>	<input type="checkbox"/>	2010–2011	<input type="checkbox"/>	2012–2013	<input type="checkbox"/>	2014–2015	<input type="checkbox"/>	2016–2017				
<input type="checkbox"/>	2010–2011												
<input type="checkbox"/>	2012–2013												
<input type="checkbox"/>	2014–2015												
<input type="checkbox"/>	2016–2017												
<i>If yes and if known, with which affected country(ies) and/or UNCCD subregion(s) and region(s)? (add as many rows as necessary; if not known, leave the reply empty)</i>	<ol style="list-style-type: none"> 												
Qualitative assessment	<i>If any, has the conclusion of partnership agreement(s) been facilitated by Convention-related institutions?</i>												
	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No								
	<input type="checkbox"/>	Yes											
	<input type="checkbox"/>	No											
<i>If yes, by whom?(more than one box can be ticked)</i>	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Secretariat</td> </tr> <tr> <td><input type="checkbox"/></td> <td>GM</td> </tr> <tr> <td><input type="checkbox"/></td> <td>GEF</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Other (specify): (max 50 words)</td> </tr> </table>	<input type="checkbox"/>	Secretariat	<input type="checkbox"/>	GM	<input type="checkbox"/>	GEF	<input type="checkbox"/>	Other (specify): (max 50 words)				
<input type="checkbox"/>	Secretariat												
<input type="checkbox"/>	GM												
<input type="checkbox"/>	GEF												
<input type="checkbox"/>	Other (specify): (max 50 words)												
<i>Identify the major difficulties experienced in the conclusion of partnership agreements with affected country Parties within the framework of the Convention and of their relation to integrated investment frameworks (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).</i>	<table border="1"> <thead> <tr> <th></th> <th><i>Level of importance</i></th> </tr> </thead> <tbody> <tr> <td>Not a priority for the reporting organization to conclude partnerships</td> <td></td> </tr> <tr> <td>It is not a priority for the beneficiary's side</td> <td></td> </tr> <tr> <td>Other environment-related policy frameworks than the UNCCD are more suitable and/or conducive for establishing partnerships</td> <td></td> </tr> <tr> <td>Linking the partnership to existing investment frameworks is too onerous</td> <td></td> </tr> <tr> <td>Other (specify): (max 50 words)</td> <td></td> </tr> </tbody> </table>		<i>Level of importance</i>	Not a priority for the reporting organization to conclude partnerships		It is not a priority for the beneficiary's side		Other environment-related policy frameworks than the UNCCD are more suitable and/or conducive for establishing partnerships		Linking the partnership to existing investment frameworks is too onerous		Other (specify): (max 50 words)	
	<i>Level of importance</i>												
Not a priority for the reporting organization to conclude partnerships													
It is not a priority for the beneficiary's side													
Other environment-related policy frameworks than the UNCCD are more suitable and/or conducive for establishing partnerships													
Linking the partnership to existing investment frameworks is too onerous													
Other (specify): (max 50 words)													

Complementary information

Number of partnership agreements concluded between your organization and affected country Parties and established under frameworks other than UNCCD under implementation during the reporting period	
2010	
2011	
2012	
2013	
2014	
2015	
2016	
2017	
2018	

<i>List the affected country(ies) and/or UNCCD subregion(s) and region(s) with which your organization has one or more such partnership agreement(s) under implementation during the reporting period.</i>	1.
	2.
	3.

2. Indicator CONS-O-7

Operational Objective 2:		Policy framework										
Performance indicator CONS-O-7 for Outcome 2.5												
Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.												
Understanding of the indicator												
The indicator measures the existence of synergistic processes through the number of instruments (i.e. joint planning/programming and/or operational mechanisms) in place in affected country Parties and/or at subregional/regional level which foster the introduction of, or strengthen the mutually reinforcing measures among, the three Rio Conventions, and whose establishment has been supported (technically and/or financially) by the reporting UN/IGO either within the framework of UNCCD or of other initiatives. The assumption is that the higher the number of enabling instruments in place, the higher the possibility of achieving synergies in implementation. The information provided by UN and IGOs will be complemented by the reporting of other entities on synergistic processes at the national, subregional, regional and global level.												
Data needed	<ul style="list-style-type: none"> ▪ Planning/programming documents; information from partnership agreements and programmes/projects ▪ Information on operational mechanisms explicitly aimed at achieving joint implementation, synergies and convergence, as well as at introducing or strengthening reinforcing measures among the Rio Conventions 											
Data sources <i>(indicative only)</i>	Relevant departments/units within the reporting UN/IGO, partnership agreements, programmes/projects reports											
Check the glossary for	'Joint planning/programming initiatives', 'Operational mechanisms for joint implementation or mutual reinforcement', 'Partnership agreements'											
Check the reporting manual for	'Which synergistic instruments should be included?', 'Indicative list of activities by Parties to promote synergies among the Rio Conventions'											
<i>Aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Instruments having a global scope are those covering the globe or at least two (or more) regional annexes.</i>												
<i>For an indicative list of activities by Parties to promote synergies among the Rio Conventions, refer to UNEP/CBD/COP/DC/IX/16, Annex II</i>												
Number of synergistic instruments implemented in affected country Parties with the technical and/or financial support of your organization during the reporting period												
	Joint planning/programming initiatives					Operational mechanisms for joint implementation or mutual reinforcement						
Level	regional Annexes					global scope	regional Annexes					global scope
Year	1	2	3	4	5	global scope	1	2	3	4	5	global scope
2008												
2009												
2010												
2011												
2012												
2013												
2014												
2015												
2016												
2017												
2018												

If your organization supported the implementation of one or more synergistic instrument(s) in affected country(ies) during the reporting period, please reply to the questions below.

Specify the type of joint initiative(s) and/or of mechanism(s) supported (tick as many boxes as necessary).

Joint planning/programming initiatives

<input type="checkbox"/>	Evaluation of national plans and identification of gaps in synergies
<input type="checkbox"/>	Identification of sectors and policies that could benefit from synergies and cooperation
<input type="checkbox"/>	Review of plans and policies to enhance cooperation
<input type="checkbox"/>	Enhancement of the institutional and scientific capacities and awareness of relevant stakeholders
<input type="checkbox"/>	Other (specify): (max 50 words)

Operational mechanisms for joint implementation or mutual reinforcement

<input type="checkbox"/>	Regular meetings between focal points and focal point teams
<input type="checkbox"/>	Establishment of a coordinating committee for the implementation of the Rio Conventions
<input type="checkbox"/>	Establishment of collaborative processes on synergies in reporting under Rio Conventions
<input type="checkbox"/>	Other (specify): (max 50 words)

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary).

<input type="checkbox"/>	UNCCD-related partnership agreement or initiative
<input type="checkbox"/>	CBD-related frameworks
<input type="checkbox"/>	UNFCCC-related frameworks
<input type="checkbox"/>	Other (specify): (max 50 words)

Specify the type of support provided (tick as many boxes as necessary).

<input type="checkbox"/>	Mainly technical
<input type="checkbox"/>	Mainly financial
<input type="checkbox"/>	Both technical and financial

List the name(s) of the beneficiary affected country(ies) and/or UNCCD subregion(s)/region(s) (add as many rows as necessary)

1. _____
2. _____
3. _____

Are there instruments in place (i.e. joint planning/programming and/or operational mechanisms) that foster synergies with respect to the three Rio Conventions?

<input type="checkbox"/>	Yes				
<input type="checkbox"/>	No				
<input type="checkbox"/>	Yes, but for only two of the Rio Conventions, namely:				
<input type="checkbox"/>	UNCCD and CBD	<input type="checkbox"/>	UNCCD and UNFCCC	<input type="checkbox"/>	UNFCCC and CBD

Sources of information

List the names of the synergistic instruments described above.

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

1. _____
2. _____
3. _____

Overall target	By 2014, each affected country Party has either one joint national plan in place or functional mechanism(s) to ensure synergies among the three Rio Conventions																				
Contribution to the target	<p>Does your organization have plans for providing support to one or more affected country Party(ies) and/or UNCCD subregion(s)/region(s) for the implementation of synergistic instruments?</p> <table border="1"> <tr> <td></td> <td>Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table> <p>If yes, when?</p> <table border="1"> <tr> <td></td> <td>2010–2011</td> </tr> <tr> <td></td> <td>2012–2013</td> </tr> <tr> <td></td> <td>2014–2015</td> </tr> <tr> <td></td> <td>2016–2017</td> </tr> </table> <p>If yes and if known, to which affected country(ies) and/or subregion(s)/region(s)? (add as many rows as necessary; if not known leave the reply empty)</p> <ol style="list-style-type: none"> 		Yes		No		2010–2011		2012–2013		2014–2015		2016–2017								
	Yes																				
	No																				
	2010–2011																				
	2012–2013																				
	2014–2015																				
	2016–2017																				
Qualitative assessment	<p>For those organizations which have supported the implementation of synergistic planning/programming or mechanisms for joint implementation in affected countries and/or subregion(s)/region(s), identify the major difficulties experienced in this process (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).</p> <table border="1"> <thead> <tr> <th></th> <th>Level of importance</th> </tr> </thead> <tbody> <tr> <td>Lack of coordination among relevant ministries of the recipient country(ies)</td> <td></td> </tr> <tr> <td>Human and/or financial resources constraints of the recipient country(ies)</td> <td></td> </tr> <tr> <td>Lack of support and/or guidance by the Rio Conventions' institutions</td> <td></td> </tr> <tr> <td>Other (specify): (max 50 words)</td> <td></td> </tr> </tbody> </table> <p>For those organizations which have not supported the implementation of synergistic planning/programming or mechanisms for joint implementation in affected countries) and/or subregion(s)/region(s), identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).</p> <table border="1"> <thead> <tr> <th></th> <th>Level of importance</th> </tr> </thead> <tbody> <tr> <td>Not a priority of the reporting organization</td> <td></td> </tr> <tr> <td>Insufficient financial resources</td> <td></td> </tr> <tr> <td>Support to synergistic instruments should be pursued at the individual (developed) countries level and not only at the international level</td> <td></td> </tr> <tr> <td>Other (specify): (max 50 words)</td> <td></td> </tr> </tbody> </table>		Level of importance	Lack of coordination among relevant ministries of the recipient country(ies)		Human and/or financial resources constraints of the recipient country(ies)		Lack of support and/or guidance by the Rio Conventions' institutions		Other (specify): (max 50 words)			Level of importance	Not a priority of the reporting organization		Insufficient financial resources		Support to synergistic instruments should be pursued at the individual (developed) countries level and not only at the international level		Other (specify): (max 50 words)	
	Level of importance																				
Lack of coordination among relevant ministries of the recipient country(ies)																					
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Lack of support and/or guidance by the Rio Conventions' institutions																					
Other (specify): (max 50 words)																					
	Level of importance																				
Not a priority of the reporting organization																					
Insufficient financial resources																					
Support to synergistic instruments should be pursued at the individual (developed) countries level and not only at the international level																					
Other (specify): (max 50 words)																					

C. Operational objective 3

1. Indicator CONS-O-8

Operational Objective 3:		Science, technology and knowledge
Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2		
Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional/regional monitoring system for DLDD		
Understanding of the indicator		
The indicator measures the monitoring potential of affected countries, subregional and regional entities by quantifying the number of monitoring systems supported by UN and IGOs at their respective geographical levels. These monitoring systems may be specifically dedicated to DLDD or may partially cover it. The indicator will inform on the extent to which it is realistic to expect more regular and coherent reporting by affected country Parties, subregional and regional entities during the implementation of The Strategy and beyond. Reporting UN and IGOs are invited to report on monitoring systems they have supported (technically and/or financially) either within the framework of UNCCD or of other initiatives and within the timeframe of the reporting period. The information provided by reporting UN and IGOs will be complemented by the reporting of other entities on UNCCD-relevant monitoring systems established and supported at the national, subregional, regional and global levels.		
Data needed	<ul style="list-style-type: none"> ▪ Information on monitoring systems established within the national ministries or other bodies/institutions in affected country Parties and UNCCD subregions/regions. ▪ Programme/project documents and interim or final reports. 	
Data sources <i>(indicative only)</i>	Relevant UN agencies and IGOs databases, programme/project documents or reports, other non-governmental sources.	
Check the glossary for	'monitoring system', 'monitoring system specifically dedicated to DLDD', 'monitoring system partially covering DLDD'	
Check the reporting manual for	'Which monitoring systems should be reported and accounted for?', 'Can a monitoring system that is not an environmental monitoring system, but accounts for the socio-economic aspects of DLDD, be considered a DLDD monitoring system?', 'Can a meteorological monitoring system be considered a DLDD monitoring system?', 'How do you count the number of supported monitoring systems in use?'	
Number of monitoring systems in affected country Parties and/or UNCCD subregions/regions that obtained the technical and/or financial support of your organization during the reporting period		
Year	Monitoring systems specifically dedicated to DLDD	Monitoring systems partially covering DLDD
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

According to the information you have provided above, if your organization has supported one or more monitoring system(s), reply to the questions below.

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary).

	UNCCD-related initiative
	CBD-related initiative
	UNFCCC-related initiative
	Other (specify): (max. 30 words)

Specify the type of support provided.

	Mainly technical
	Mainly financial
	Both technical and financial

List the name(s) of the beneficiary affected country(ies) and/or subregion(s)/region(s) and related monitoring systems (if one or more of the established monitoring systems have a global scope, write 'global'; add as many rows as necessary).

1. _____
2. _____
3. _____
4. _____

To the best of your knowledge, how many of the monitoring systems that your organization supported since 2008 are still in use (i.e. functional and regularly updated)?

Has your organization supported one or more monitoring system(s) in affected country(ies) and/or subregion(s)/region(s) before 2008?

	Yes
	No

If yes, list the name(s) of the beneficiary affected country(ies) and/or subregion(s)/region(s) (if one or more of the established monitoring systems have a global scope, write 'global'; add as many rows as necessary).

1. _____
2. _____
3. _____
4. _____

Sources of information Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

1. _____
2. _____
3. _____

Overall target By 2018, at least 60 per cent of affected country Parties, subregional and regional reporting entities have established and supported national monitoring systems for DLDD.

Contribution to the target At the time of reporting, does your organization have plans for providing support to one or more affected country Party(ies) and/or UNCCD regions/subregions for monitoring systems relating to DLDD?

	Yes
	No

If yes, when?

	2010–2011
	2012–2013
	2014–2015
	2016–2017

If yes and if known at the time of reporting, to which affected country(ies) and/or subregions/regions? (add as many rows as necessary; if not known leave the reply empty).

1. _____
2. _____
3. _____

Qualitative assessment

For those organizations **which have supported** (a) monitoring system(s) relating to DLDD at national, subregional/regional and/or global level, identify the major difficulties experienced in this process (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).

	<i>Level of importance</i>
Lack of coordination among relevant ministries of the recipient country(ies) in determining the system's hosting and operationalization responsibilities	
Human and/or financial resources constraints of the recipient country(ies) for the maintenance of the system	
Onerous establishment of regular data flows for feeding the system	
Other (specify): (max. 50 words)	

For those organizations **which have not supported** a monitoring system relating to DLDD at national and/or subregional/regional level, identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).

	<i>Level of importance</i>
Lack of financial resources	
Not requested by affected and/or subregional regional entities	
Uncertainty of the type of data and information to be stored in the system for DLDD reporting	
Other (specify): (max. 50 words)	

2. Indicator CONS-O-11

Operational Objective 3:		Science, technology and knowledge
Performance indicator CONS-O-11 for Outcome 3.5		
Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website		
Understanding of the indicator		
The indicator measures the presence of DLDD-related knowledge-sharing processes, through the quantification of the type and number of existing knowledge-sharing systems. Effectiveness of these systems is measured through quantification of their user-base. The indicator will inform to what extent scientific and traditional knowledge, including best practices, are available to and sufficiently shared with end-users. This information will be complemented by the reporting of other reporting entities on existing UNCCD-relevant knowledge-sharing systems at the national, subregional, regional and global levels.		
Data needed	<ul style="list-style-type: none"> ▪ Information from websites ▪ Only DLDD-relevant knowledge-sharing systems and networks shall be considered. 	
Data sources <i>(indicative only)</i>	Relevant UN agencies and IGOs databases/websites hosting knowledge-sharing systems and networks.	
Check the glossary for	'knowledge-sharing system', 'PRAIS'	
Check the reporting manual for	'How can you determine the number of users in a knowledge-sharing system?'	
<i>List any DLDD-relevant 'knowledge-sharing system' hosted by your organization, providing an Internet link and estimated number of users per year (add as many rows as necessary).</i>		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Overall target	By 2010 the Convention website has been restructured and includes a thematic database on knowledge-sharing systems as part of the PRAIS.	
Contribution to the target	-	
Qualitative assessment	-	

D. Operational objective 4

Indicator CONS-O-13

Operational Objective 4:		Capacity-building									
Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2											
Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of NCSA or other methodologies and instruments											
Understanding of the indicator											
The indicator measures the presence of DLDD-related capacity-building processes implemented in affected country Parties and/or at subregional/regional level through the quantification of existing DLDD-related capacity-building initiatives supported by reporting UN and IGOs. The indicator will inform to what extent affected country Parties, subregional and regional entities may be expected to meet their obligations foreseen by the Convention, including forthcoming ones (i.e. new reporting requirements, establishment of monitoring systems, accessing new financing mechanisms). The information provided by UN and IGOs will be complemented by the reporting of other entities on capacity-building initiatives at the national, subregional, regional and global levels.											
Data needed	<ul style="list-style-type: none"> ▪ Information on DLDD-related capacity-building initiatives. ▪ Only capacity-building plans/programmes/projects mentioned in the PPS that have DLDD-related capacity-building as a major objective are to be considered. 										
Data sources (<i>indicative only</i>)	<ul style="list-style-type: none"> ▪ PPSs submitted to UNCCD as part of the reporting exercise. ▪ Programme/project documents and interim or final reports of those programmes and projects identified through the PPSs as having DLDD-related capacity-building as a major objective. 										
Check the glossary for	'capacity-building', 'capacity development', 'capacity-building initiative', 'NCSA', 'PPS'										
Check the reporting manual for	'What can be considered as a programme or project that has DLDD-related capacity-building as a major objective?'										
<i>Identify, if any, relevant programmes and projects through the PPSs and check corresponding programme/project documents and interim or final reports to extract the information needed for completing the table below.</i>											
Number of DLDD-related capacity-building initiatives supported by your organization and implemented during the reporting period in affected countries, subregions or regions											
Initiatives/year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
NCSA-generated											
Other initiatives											
<i>Provide relevant information about the size, scope, effectiveness and status of initiatives supported (max. 200 words).</i>											
<div style="border: 1px solid black; height: 40px; width: 100%;"></div>											
<i>List the affected country(ies) and/or UNCCD subregions/regions where the DLDD-related capacity-building initiatives have been supported (add as many rows as necessary). Specify in brackets whether the initiative was 'NCSA-generated' (if no specification is given, it will be assumed that the initiative has been generated within other frameworks)</i>											
1.											
2.											
3.											
Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>										
1.											
2.											
3.											
Overall target	By 2014, at least 90 per cent of affected country Parties, subregional and regional reporting entities implement DLDD-specific capacity-building plans or programmes/projects.										

Contribution to the target *At the time of reporting, does your organization have plans for providing support to one or more affected country Party(ies) and/or UNCCD region(s)/subregion(s) for the implementation of DLDD-specific capacity-building plans, programmes or projects?*

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

If yes, when?

<input type="checkbox"/>	2010–2011
<input type="checkbox"/>	2012–2013
<input type="checkbox"/>	2014–2015
<input type="checkbox"/>	2016–2017

If yes and if known at the time of reporting, to which affected country(ies)/subregion(s)/region(s)?(add as many rows as necessary; if not known leave the reply empty)

- 1.
- 2.
- 3.

Qualitative assessment *For those organizations **which have supported** DLDD-specific capacity-building plans, programmes or projects at national and/or subregional/regional level, identify the major difficulties experienced in the process (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).*

	<i>Level of importance</i>
Insufficient cooperation from the beneficiaries' side	
Lack of mainstreaming of the plans, programmes or projects into DLDD-related national planning and policies	
Shortcoming of the plans, programmes or projects in terms of capacity-building recipients, content, logistic arrangements, etc.	
Other (specify): (max 50 words)	

*For those organizations **which have not supported** DLDD-specific capacity-building plans, programmes or projects at national and/or subregional/regional level, identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).*

	<i>Level of importance</i>
Lack of financial resources	
Not requested by affected and/or subregional regional entities	
Lack of clarity from the recipient side on how to concretely capitalise on the building of capacities	
Other (specify): (max 50 words)	

E. Operational objective 5

1. Indicator CONS-O-14

Operational Objective 5:		Financing and technology transfer
Performance indicator CONS-O-14 for Outcome 5.1		
Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other IFSSs, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation		
Understanding of the indicator		
The indicator measures the presence of integrated financing processes allowing the leverage of national, bilateral and multilateral resources for combating DLDD, through the quantification of investment frameworks financially and/or technically supported by UN and IGOs in affected countries and/or in subregions/regions. Investment frameworks may be those developed within the IFS devised by the GM or other IFSSs promoted by international institutions. The information provided by reporting UN and IGOs will be complemented by the reporting of other reporting entities on IIFs at national, subregional and regional levels.		
Data needed	<ul style="list-style-type: none"> ▪ Investment framework documents. ▪ Only investment frameworks prepared along the guidelines devised within IFSSs shall be considered. ▪ A distinction shall be made between IIFs established within the IFS devised by the GM and those established within other IFSSs. 	
Data sources (indicative only)	Relevant UN agencies and IGOs databases, programme/project documents	
Check the glossary for	'IFS', 'NAP' 'leveraging', 'IIF'	
Check the reporting manual for	'Which IIFs should be reported and accounted for?', 'How can you determine the number of IIFs that have been developed?'	
Number of IIFs in affected country Parties and/or UNCCD subregions/regions that have been supported by your organization during the reporting period		
Year	IIFs established within the IFS devised by the GM	IIFs established within other IFSSs
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

According to the information provided above, if your organization has supported one or more IIF(s), reply to the questions below.

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary).

<input type="checkbox"/>	UNCCD-related initiative
<input type="checkbox"/>	CBD-related initiative
<input type="checkbox"/>	UNFCCC-related initiative
<input type="checkbox"/>	Other (specify): (max. 30 words)

Specify the type of support provided.

<input type="checkbox"/>	Mainly technical
<input type="checkbox"/>	Mainly financial
<input type="checkbox"/>	Both technical and financial

List the name(s) of the beneficiary country(ies) and/or subregion(s)/region(s) and related IIFs (add as many rows as necessary).

1. _____

2. _____

3. _____

To the best of your knowledge, how many of the IIFs you have supported since 2008 are still in use?

Has your organization supported one or more IIF(s) before 2008?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

If yes, list the name(s) of the beneficiary country(ies) and/or subregion(s)/region(s) (add as many rows as necessary).

1. _____

2. _____

3. _____

4. _____

Sources of information Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

1. _____

2. _____

3. _____

Overall target By 2014, at least 50 per cent of affected country Parties, subregional and regional entities have developed IIFs.

Contribution to the target At the time of reporting, does your organization have plans for providing support to one or more affected country Party(ies) and/or UNCCD regions/subregions for their IIFs?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

If yes, when?

<input type="checkbox"/>	2010–2011
<input type="checkbox"/>	2012–2013
<input type="checkbox"/>	2014–2015
<input type="checkbox"/>	2016–2017

If yes, and if known at the time of reporting, in which affected country(ies) and/or subregions/regions?(add as many rows as necessary; if not known leave the reply empty).

1. _____
2. _____
3. _____

Qualitative assessment

For those organizations **which have supported** one or more IIF(s) at national and/or subregional/regional levels, identify the major difficulties experienced in the process (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).

	<i>Level of importance</i>
Lack of coordination among relevant ministries of the recipient country(ies) for the provision of needed technical and financial information	
National, bilateral and multilateral resources are too diverse; cannot be realistically coordinated under one umbrella	
Other (specify): (max. 50 words)	

For those organizations **which have not supported** an IIF at national and/or subregional/regional levels, identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).

	<i>Level of importance</i>
Lack of financial resources	
Not requested by affected and/or subregional/regional entities	
Too onerous exercise compared to the advantages offered	
Other (specify): (max. 50 words)	

2. Indicator CONS-O-17

Operational Objective 5:		Financing and technology transfer									
Performance indicator CONS-O-17 for Outcome 5.3											
Number of DLDD-related project proposals successfully submitted for financing to international financial institutions, facilities and funds, including the GEF											
Understanding of the indicator											
The indicator measures the capacity of fund-raising through the quantification of project proposals successfully submitted for funding to the various financing organizations. The indicator will inform to what extent affected country Parties make increasing efforts to mobilize resources. The information provided by UN and IGOs will be complemented by the reporting of other reporting entities on the fund-raising efforts at national, subregional and regional levels.											
Data needed	<ul style="list-style-type: none"> ▪ Information on received and approved project proposals (if a financing organization). ▪ Financial information on approved projects. ▪ Project proposals shall be DLDD-related and being submitted by UNCCD affected country Parties 										
Data sources <i>(indicative only)</i>	<ul style="list-style-type: none"> ▪ Relevant UN agencies and IGOs databases 										
Check the glossary for	'project proposals', 'currency', 'successfully submitted proposals'										
Provide the information below as a financing organization receiving proposals for funding.											
<i>Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.</i>											
Biennium	Number of DLDD-related project proposals submitted (pipeline) to your organization					Number of DLDD-related project proposals approved by your organization and ongoing					
regional Annexes	1	2	3	4	5	1	2	3	4	5	
2008 - 09											
2010 - 11											
2012 - 13											
2014 - 15											
2016 - 17											
<i>Sum the amounts of the ongoing (approved) projects in the reporting biennium and give the total in the table below. Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.</i>											
Amount of funds raised, by biennium											
Biennium	Currency	Total amount by regional Annex									
		1	2	3	4	5					
2008 - 09											
2010 - 11											
2012 - 13											
2014 - 15											
2016 - 17											
<i>Provide the information below as an organization submitting proposals for funding to other organizations. Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.</i>											
Number of project proposals submitted (pipeline) and ongoing, by biennium and by UNCCD regional annex											
Biennium	Project proposals by regional Annexes										
	1	2	3	4	5						
008 - 09											
2010 - 11											
2012 - 13											
2014 - 15											

2016 - 17					
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Sum the amounts of the ongoing (approved) projects in the reporting biennium and give the total in the table below. Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.

Amount of funds raised, by biennium

Biennium	Currency	Total amount by regional Annex				
		1	2	3	4	5
2008 - 09						
2010 - 11						
2012 - 13						
2014 - 15						
2016 - 17						

Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>
	1.
	2.
	3.

Overall target	A steady growth in the number of DLDD-related successfully submitted project proposals is recorded along the implementation period of The Strategy.
----------------	---

Contribution to the target	<i>According to the information provided above, do you think that your organization is mobilizing enough resources from international financial institutions, facilities and funds through successfully submitted project proposals?</i>
----------------------------	--

	Yes
	No

If no, does your organization plan to increase its efforts in presenting project proposals to international financial institutions, facilities and funds?

	Yes
	No

What percentage of financing used for the implementation of DLDD-related programmes and projects comes from national sources, and what percentage from international sources?

	National sources
	International sources
=100 %	

Qualitative assessment

Identify the reasons for the increasing or decreasing trend of project proposals successfully submitted to international financial institutions, facilities and funds (tick as many boxes as necessary and rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important).

Reasons for increasing	<i>Level of importance</i>
Easier and more transparent application procedures	
Increased capacities of national stakeholders to prepare applications	
Major natural hazards occurred at the national level considerably increased the level of resources made available by the international community	
Access to funding is increasingly facilitated by third parties such as the private sector	
Existence of a financing strategy (IFS or others)	
Other (specify): (max. 50 words)	

Reasons for decreasing	<i>Level of importance</i>
Financing opportunities are not publicized enough, lack of access to necessary information	
Complicated application procedures, the level of complexity being worsened by the different requirements of the various donors	
Limited financial resources are made available for DLDD-related programmes/projects, and lack of DLDD-specific allocations within donors' portfolio	
Other (specify): (max. 50 words)	

III. Financial flows

A. Standard Financial Annex

1. The CRIC has recommended that financial reporting be based on a standard financial reporting format to be used by affected country Parties and their development partners. It also indicated that emphasis in reports should be put on financial matters and also on an analysis of the impact of the activities undertaken (ICCD/CRIC(8)/5).

2. The purpose of the SFA is to consolidate information on resources mobilized by affected country Parties and their development partners under the framework of relevant strategies and action programmes. It facilitates the aggregation of data on financial commitments, financial flows and resources available by all relevant funding sources for activities related to the implementation of the Convention. It also helps minimize double counting in financial statistics (ICCD/CRIC(8)/5/Add.4).

3. The SFA is to be used by each country Party and other reporting entities, including United Nations agencies and IGOs, to list all financial commitments they have made during the reporting period in support of institutions, programmes, projects, as well as other relevant initiatives undertaken at national or international level for the implementation of the Convention.

4. More specifically, for each relevant financial commitment or allocation made in the reporting period, the SFA requires a minimum set of data grouped as follows:

(a) **Identification**, i.e. data required to identify the reporting entity, the funding source and the activity financed;

(b) **Basic data**, i.e. data specifying the amount and type of financial commitment made, as well as the recipient country, region, and/or organization, and the funding period, if applicable;

(c) **Classification**, i.e. categorization of the funded activity according to the Rio Markers for desertification, and the UNCCD Relevant Activity Codes (RACs).¹

5. The compilation of the SFA is guided by means of a template, which responds to the recommendations of CRIC 7 and CRIC 9, and builds on the GM methodological guide for financial reporting² presented to CRIC 6 as part of the report of the intergovernmental Ad Hoc Working Group to improve the procedures for communication of information. In addition, and as part of the iterative process³ requested by the Conference of the Parties in decision 13/COP.9, the SFA was adjusted based on the feedback that reporting entities provided on performance indicators and other elements of the reporting process to CRIC 10.

6. Within the template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by the reporting entities with relevant data or narrative information.

¹ Guidelines on the use of the Rio Markers and the RACs are provided in the quick reference guide. Additional references can be found in the following documents:

- Reporting directives for the creditor reporting system – addendum Rio markers-DCD/DAC(2002)21/ADD

<http://www.oecd.org/dataoecd/44/46/35646074.pdf>

- Reporting directives for the creditor reporting system - DCD/DAC(2007)39/FINAL/ADD:

<http://www.oecd.org/dataoecd/16/53/1948102.pdf>

- Relevant Activity Codes: <http://www.global-mechanism.org/RACs.pdf>

² Report of the Ad Hoc Working Group on improving the procedures for communication of information - ICCD/CRIC(6)/6/Add.1:

<http://www.unccd.int/Lists/OfficialDocuments/cric6/6add1eng.pdf>

³ The iterative process: refinement of the set of performance indicators and associated methodologies – ICCD/CRIC(10)/11:

<http://www.unccd.int/Lists/OfficialDocuments/cric10/11eng.pdf>

7. Decision 13/COP.9, paragraph 8, invites country Parties and other reporting entities, including United Nations agencies and IGOs, to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the comprehensive glossary of performance indicators for the review of implementation of The Strategy and best practices, common to all reporting entities and contained in a separate document (ICCD/CRIC(9)/13).

A. Identification	
1. Reporting entity	<i>Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex</i>
2. Identification code	<i>Enter the Identification Code (ID), number or acronym given to the activity funded (if known)</i>
3. Funding organization	<i>Enter the full name and acronym (if applicable) of the organization that has made the financial commitment</i>
4. Name of activity funded	<i>Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment</i>
B. Basic Data	
5. Recipient country(ies) or (sub)region(s)	<i>Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus</i>
6. Recipient organization(s)	<i>Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to</i>
7. Commitment date (dd/mm/yyyy)	<i>Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)</i>
8. Currency	<i>Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YEN, etc.)</i>
9. Amount committed	<i>Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals</i>
10. Type of funding	<i>Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding, sectoral support, debt swap, equity, etc.)</i>

11. Start date (dd/mm/yyyy)	<i>Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)</i>
12. Completion date (dd/mm/yyyy)	<i>Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable</i>
C. <u>Classification</u>	
13. Rio Marker for desertification	<i>Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)</i> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/>
14. Relevant Activity Code(s) (RACs)	<i>Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.</i> i. ii. iii.
15. Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i> i. ii. iii.

B. Programme and Project Sheet

8. Programme and Project Sheets (PPS) are used to provide more detailed information on programmes or projects undertaken or completed in the reporting period. This includes programmes and projects in the pipeline, as well as final proposals submitted for funding to internal or external funding sources. All country Parties and other reporting entities, including United Nations agencies and IGOs, involved in the financing, coordination or implementation of relevant programmes and projects are requested to prepare a PPS for each of them, and to attach them to their official report to the UNCCD.

9. The compilation of the PPS is guided by means of a template. These templates are intended to collect a minimum set of qualitative and quantitative data to facilitate the analysis of funding and investment flows, and the production of better financial statistics related to UNCCD implementation (ICCD/CRIC(8)/5/Add.4), with a view to enabling the CRIC to undertake an objective review of progress in the implementation of the Convention and The Strategy. The PPS also facilitate the computation of certain performance and impact indicators⁴.

10. A distinctive feature of the PPS is that it allows country Parties and other reporting entities, including United Nations agencies and IGOs, to specify which strategic and operational objectives of The Strategy are targeted by each programme or project. In addition, it allows for individual programme or project components to be categorized using the Rio Markers for desertification and Relevant Activity Codes (RACs).

11. Furthermore, the PPS can be used to indicate whether the objectives of other Rio Conventions (i.e. the United Nations Convention on Biological Diversity, UNCBD – and the United Nations Framework Convention on Climate Change, UNFCCC) are also addressed by the programme or project. This is done through the use of the biodiversity and climate change Rio Markers, respectively.⁵

12. The PPS offers an opportunity to increase the visibility of relevant programmes and projects, thereby creating the conditions for a better sharing of experiences and lessons, as well as the transfer of knowledge in general. It also favours collaboration and networking by facilitating the identification of potential synergies.

13. Lastly, the PPS also allows country Parties and other reporting entities to provide a narrative description of the expected or achieved results. This information will facilitate the qualitative assessment of progress in the implementation of The Strategy, including on returns on investment. The CRIC will use the analysis of financial information⁶ originating from the PPS to assess results, performance and impacts.

14. To minimize the reporting burden and avoid discrepancies in the information annexed to the reports of different entities, it is recommended that project partners identify the most suitable ways to coordinate among themselves the preparation of PPS to ensure that consistent data are reported for the same projects. It would also be advisable to compile just one PPS for large “umbrella” programmes, instead of separate PPS for each small project stemming from them.

15. In the PPS template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by country Parties and other reporting entities with relevant data or narrative information.

⁴Such as performance indicators CONS-O-3, CONS-O-17, and CONS-O-18,

⁵Guidelines on the use of the Rio Markers are provided in the quick reference guide. Additional references can be found in the following documents:

- *Reporting directives for the creditor reporting system – addendum Rio markers*-DCD/DAC(2002)21/ADD
<http://www.oecd.org/dataoecd/44/46/35646074.pdf>

- *Reporting directives for the creditor reporting system* - DCD/DAC(2007)39/FINAL/ADD:
<http://www.oecd.org/dataoecd/16/53/1948102.pdf>

⁶In conformity with Decision 13/COP 9 paragraph 21 and 22:

<http://global-mechanism.org/dynamic/File/Simone/Decision%2013.pdf>

1. Reporting entity(ies)		
<i>Enter the full name and acronym of the reporting entity(ies)</i>		
2. Identification code		
<i>Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)</i>		
3. Title		
<i>Enter the Programme/Project title, and sub-title if applicable</i>		
4. Role of the reporting entity(ies) in the Programme/Project		
<i>Indicate the role of the reporting entity(ies) in the Programme/Project (e.g. funding agency, implementing agency, etc.)</i>		
5. Civil society organizations (CSOs) and science and technology institutions (STIs)		
<i>Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science and Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.</i>		
i.		
ii.		
iii.		
iv.		
v.		
6. Beneficiary country(ies) or subregion(s)		
<i>Enter the name of the country(ies), subregion(s) and/or region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus</i>		
7. Target area size/administrative unit	Area size	Administrative unit
<i>Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project</i>		
8. Beneficiaries		
<i>Enter the total number of people benefiting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)</i>		

9. Start date (dd/mm/yyyy)					
<i>Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)</i>					
10. Completion date (dd/mm/yyyy)					
<i>Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)</i>					
11. Status					
<i>Indicate the status of the Programme/Project at the time of completing this form.</i>					
Pipeline	<input type="checkbox"/>	Ongoing	<input type="checkbox"/>	Completed	<input type="checkbox"/>
12. Programme/Project co-financing	Source	Currency	Amount		
	<i>Provide the full name and acronym of all co-financing organizations</i>	<i>For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.),</i>	<i>Indicate the amount of funding provided by each co-financing organization (numeric field. Do not use abbreviations, symbols or decimals)</i>		
	i.				
	ii.				
	iii.				
	iv.				
	v.				
13. United Nations Conventions' Rio Markers	UNCCD	UNFCCC		CBD	
		adaptation	mitigation		
<i>Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)</i>	0 1 2 3 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	0 1 2 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	0 1 2 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	0 1 2 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
14. Strategic objectives	1	2	3	4	
<i>Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
15. Operational objectives	1	2	3	4	5
<i>Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

16. Sector(s) of intervention

Indicate the sector(s) of intervention as specified in the related documentation, choosing from the list of purpose codes provided in the quick reference guide (ICCD/CRIC(9)/INF.11). The OECD list of purpose codes is also available at the following link: http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html.

i.

ii.

iii.

iv.

v.

17. Relevant Activity Code(s) (RACs)

Indicate all RACs that may apply to the Programme/Project (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.

i.

ii.

iii.

18. Expected or achieved results

Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).

i.

ii.

iii.

iv.

v.

19. Sources of information

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

i.

ii.

iii.

IV. Additional information

16. The section on additional information is meant to provide an instrument of flexibility in the reporting exercise as well as to enrich the knowledge base of the CRIC on concrete issues faced by country Parties and consequently to make more targeted and specific recommendations to the COP. It allows United Nations agencies and IGOs to comment or report upon issues that are not covered elsewhere but that are nevertheless of importance within the framework of the implementation of The Strategy and the Convention.

17. The additional information section allows feedback to be received on the reporting process and on the support provided by reporting United Nations agencies and IGOs on the implementation of NAPs/SRAPs/RAPs or on their alignment to The Strategy. It is also meant to accommodate *ad hoc* COP requests for reporting on specific topics or new reporting requirements deriving from COP deliberations that may supersede existing ones and imply changes in implementation.

18. The proposed template for reporting is adjusted to the mandate of United Nations agencies and IGOs within the framework of the Convention, as requested by decision 13/COP.9, paragraph 17. In particular, any United Nations agency or intergovernmental organization having a specific mandate is able to report against the main obligations of its mandate using the additional information template.

ADDITIONAL INFORMATION									
Section 1. Reporting process-related issues									
Financial resources	<p><i>Did your organization provide financial support to affected country Parties to meet UNCCD reporting obligations?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 50px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td></td> <td style="text-align: center;">No</td> </tr> </table> <p><i>Provide an estimate of the amount invested by your organization into the UNCCD reporting process.</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 100px;">Currency</td> <td></td> </tr> <tr> <td>Amount</td> <td></td> </tr> </table>		Yes		No	Currency		Amount	
	Yes								
	No								
Currency									
Amount									
Human resources	<p><i>How many people from your organization were involved in the UNCCD reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 150px;">Number of people</td> <td></td> </tr> </table> <p><i>Estimate the total number of person-days dedicated by these persons to the UNCCD reporting process:</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 150px;">Number of person-days</td> <td></td> </tr> </table>	Number of people		Number of person-days					
Number of people									
Number of person-days									

Knowledge	<p>Could your organization count on sufficient technical and scientific knowledge to meet UNCCD reporting obligations?</p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> <p>If no, describe the main reasons and the difficulties encountered (max. 50 words).</p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No																				
	<input type="checkbox"/>	Yes																							
<input type="checkbox"/>	No																								
PRAIS portal	<p>Did you experience difficulties with accessing and using the PRAIS portal?</p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> <p>If you experienced difficulties, identify the reason(s) and indicate the level of importance.</p> <table border="1"> <thead> <tr> <th></th> <th><i>Not important</i></th> <th><i>Important</i></th> <th><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td>Slow internet access</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Complexity of the system</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Difficulties in obtaining access credentials</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Other (specify) (max. 30 words):</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No		<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Slow internet access				Complexity of the system				Difficulties in obtaining access credentials				Other (specify) (max. 30 words):			
	<input type="checkbox"/>	Yes																							
<input type="checkbox"/>	No																								
	<i>Not important</i>	<i>Important</i>	<i>Very important</i>																						
Slow internet access																									
Complexity of the system																									
Difficulties in obtaining access credentials																									
Other (specify) (max. 30 words):																									

Section 2. Accommodation of specific requests within decisions by the Conference of the Parties

Reporting on specific COP requests – **iterative process on indicators**

Decision 13/COP.9, paragraphs 2, 3 and 4, envisages an iterative process to refine the set of performance and impact indicators. As a tool to implement this iterative process, developed country Parties can provide their suggestions and recommendations for improvement here.

Performance indicators

Tick the cells only if you have experienced difficulties in reporting on one or more performance indicator(s). When doing so, place the tick mark under the e-SMART criterion for which the difficulty occurred.

	<i>Economic</i>	<i>Specific</i>	<i>Measurable</i>	<i>Achievable</i>	<i>Relevant</i>	<i>Time-bound</i>
<i>CONS-O-1</i>						
<i>CONS-O-3</i>						
<i>CONS-O-4</i>						
<i>CONS-O-6</i>						
<i>CONS-O-7</i>						
<i>CONS-O-8</i>						
<i>CONS-O-11</i>						
<i>CONS-O-13</i>						
<i>CONS-O-14</i>						
<i>CONS-O-17</i>						

Impact indicators

Tick the cells only if you have experienced difficulties in reporting on one or more impact indicator(s). When doing so, place the tick mark under the e-SMART criterion for which the difficulty occurred.

	<i>Economic</i>	<i>Specific</i>	<i>Measurable</i>	<i>Achievable</i>	<i>Relevant</i>	<i>Time-bound</i>
<i>SO4-1</i>						
<i>SO4-6</i>						
<i>SO4-7</i>						

Section 3. Any other organization-specific issues

Does your organization have any specific issue to bring to the attention of the Conference of the Parties?

	Yes
	No

If yes, please specify under which of the following broad categories it can be classified.

	Policy, legislative, institutional framework
	Capacity-building and awareness-raising
	Desertification/land degradation and drought (DLDD) and sustainable land management (SLM) monitoring and assessment/research
	Funding/resource mobilization
	Knowledge management and decision support
	Participation, collaboration and networking
	Reporting and review process
	Other (specify) (max. 30 words):

Narrative description:

(max. 100 words per issue)

Section 4. Support to NAPs/SRAPs/RAPs implementation and/or review

Implementation	<i>At the time of reporting, is your organization supporting the implementation of one or more NAP(s)/SRAP(s)/RAP(s)?</i>	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	No

If yes, list the affected country (ies), UNCCD subregions and/or regions supported by your organization in the implementation of their NAPs/SRAPs/RAPs. (add as many rows as necessary)

- 1.

- 2.

- 3.

Review	<i>At the time of reporting, is your organization supporting the alignment to The Strategy of existing NAP(s)/SRAP(s)/RAP(s) or the development of NAP(s)/SRAP(s)/RAP(s) aligned to the Strategy?</i>	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	No

If yes, list the affected country (ies), UNCCD subregions and/or regions supported by your organization in the alignment of their NAPs/SRAPs/RAPs. (add as many rows as necessary)

- 1.

- 2.

- 3.

UNCCD in the organization's agenda	<i>At the time of reporting, how would you rate the contribution of your organization to UNCCD scope, strategies and objectives, overall and with specific reference to the strategic and operational objectives of The Strategy?</i>
------------------------------------	---

Contribution to the implementation of the Convention:

rate (from 1- minimum – to 3 – maximum): _____

Contribution to the tackling of the strategic objectives of The Strategy:

rate (from 1- minimum – to 3 – maximum): _____

Contribution to the tackling of the operational objectives of The Strategy:

rate (from 1- minimum – to 3 – maximum): _____

V. Best practices

19. According to decision 13/COP.9, Annex V, UNCCD best practices shall be collected according to seven themes: 1. SLM technologies, including adaptation; 2. Capacity building and awareness raising; 3. DLDD and SLM monitoring and assessment/research; 4. Knowledge management and decision support; 5. Policy, legislative, institutional framework; 6. Funding/resource mobilization; 7. Participation, collaboration and networking.

20. While themes 2 to 7 represent different elements of the enabling environment needed for the implementation and dissemination/upscaling of sustainable land management (SLM) technologies (indirect impact), theme 1 comprises all actions on the ground that have a direct impact on desertification, land degradation and drought mitigation.

21. In particular, as specified in document ICCD/CRIC(8)/5/Add.5, paragraph 12, theme 1 'SLM technologies, including adaptation' refers to SLM technologies that directly contribute to the prevention, mitigation and rehabilitation of desertification and land degradation on cropland, grazing land and woodland, with the aim of improving the livelihoods of affected populations and conserving ecosystem services. Successful implementation of SLM technologies is the base for achieving strategic objectives 1, 2 and 3 of The Strategy.

22. Theme 1 also integrates five of the strategic areas defined by decision 8/COP.4, namely: (a) sustainable land use management, including water, soil and vegetation in affected areas; (b) sustainable use and management of rangelands; (c) development of sustainable agricultural and ranching production systems; (d) development of new and renewable energy sources; and (e) launching of reforestation/afforestation programmes/intensification of soil conservation programmes.

23. ICCD/CRIC(8)/5/Add.5 provides definitions for 'practice', 'good practice' and 'best practice'. These definitions are included in the common glossary that shall be referred to by Parties and other reporting entities while reporting to UNCCD, according to decision 13/COP.9, paragraph 8.

24. According to decision 15/COP.10, Parties are encouraged to submit best practices to new and existing best practice databases identified by the secretariat. By the same decision, the COP decided that the themes to be reviewed during the 2012–2013 reporting process are:

Theme 1. Sustainable land management technologies, including adaptation

Theme 6. Funding and resource mobilization

25. The CRIC Bureau met in Bonn on 17–18 February 2012 and recommended that the selection of primary databases be discussed at CRIC 11 and endorsed by the COP. Consequently, Parties and other reporting entities should be given the opportunity to continue submitting their communications on best practices to the secretariat through the PRAIS portal until a decision is made at COP 11.

26. The template for reporting on best practices related to the theme 1 'SLM technologies, including adaptation' is based on the general structure for the documentation of best practices contained in ICCD/CRIC(8)/5/Add.5, paragraphs 40 to 43; it is tailored to the documentation of best practices related to theme 1 'SLM technologies, including adaptation'. It was subsequently adjusted to take account of the classification of best practices on SLM technologies, including adaptation, adopted by decision 15/COP.10, and further simplified based on the feedback provided by Parties.

27. When reporting on best practices implemented in affected country Parties, United Nations agencies and IGOs are expected to have contacted and coordinated with the corresponding UNCCD NFP, to ensure coherence and lack of duplication in reporting on the practice.

Best practices on sustainable land management technologies, including adaptation

SLM TECHNOLOGIES, INCLUDING ADAPTATION

If your organization has no best practices on the theme 'SLM technologies, including adaptation' you can omit to report hereafter.

If you have best practices to report on the theme 'SLM technologies, including adaptation' add as many templates as necessary

Property rights

Clarify if the technology described in the template, or a part of it, is covered by property rights:

	Yes
	No

*If yes, please provide relevant information on the holder of the rights.
(max 100 words)*

CLASSIFICATION

*Classify the best practice according to classification adopted by decision 15/COP.10.
(Several answers are possible.)*

Prevailing land use in the specified location	Cropland	
	Grazing land	
	Woodland	
	Unproductive land	
	Human settlement	
	Other (specify) (max 30 words)	
Contribution to DLDD measures	Prevention	
	Mitigation	
	Adaptation	
	Rehabilitation	
Contribution to the strategic objectives	To improve the living conditions of affected populations	
	To improve the conditions of affected ecosystems	
	To generate global benefits through effective implementation of the Convention	
Type of impact	On-site impacts on production or productivity	
	On-site socio-economic and cultural impacts	
	On-site environmental impacts	
	Other on-site impacts	
	Off-site impacts	
Linkages with the other best practice themes	Capacity-building and awareness-raising	
	DLDD and SLM monitoring and assessment/research	
	Knowledge management and decision support	
	Policy, legislative and institutional framework	
	Funding and resource mobilization	
	Participation, collaboration and networking	

DESCRIPTION	
Section 1. Context of the best practice: frame conditions (natural and human environment)	
Title of the best practice	
Location (if available, also include a map)	
Brief description of the natural environment within the specified location.	Climate: <i>(max 50 words)</i> Soil: <i>(max 50 words)</i> Topography: <i>(max 50 words)</i>
Prevailing socio-economic conditions of those living in the location and/or nearby	Income level: <i>(max 50 words)</i> Main income sources: <i>(max 50 words)</i> Land tenure and land use rights: <i>(max 50 words)</i>
Short description of the best practice	<i>max 250 words</i>
On the basis of which criteria and/or indicator(s) (not related to The Strategy) the proposed practice and corresponding technology has been considered as 'best'?	<i>max 100 words</i>
Section 2. Problems addressed (direct and indirect causes) and objectives of the best practice	
Main problems addressed by the best practice	1. <i>(max 50 words)</i> 2. <i>(max 50 words)</i> 3. <i>(max 50 words)</i> 4. <i>(max 50 words)</i>
Outline specific land degradation problems addressed by the best practice	<i>max 100 words</i>
Specify the objectives of the best practice	1. <i>(max 50 words)</i> 2. <i>(max 50 words)</i> 3. <i>(max 50 words)</i> 4. <i>(max 50 words)</i>
Section 3. Activities	
Brief description of main activities, by objective	<u>Objective 1.</u> 1. <i>(max 50 words)</i> 2. <i>(max 50 words)</i> 3. <i>(max 50 words)</i> <hr/> <u>Objective 2.</u> 1. <i>(max 50 words)</i> 2. <i>(max 50 words)</i> 3. <i>(max 50 words)</i> <hr/> <u>Objective 3.</u> 1. <i>(max 50 words)</i> 2. <i>(max 50 words)</i> 3. <i>(max 50 words)</i>

	Objective 4. 1. (max 50 words) 2. (max 50 words) 3. (max 50 words)
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Short description and technical specifications of the technology	<i>max 250 words</i>
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Section 4. Institutions/actors involved (collaboration, participation, role of stakeholders)

Name and address of the institution developing the technology	
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Was the technology developed in partnership?	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> <p>If yes, list the partners:</p> <ol style="list-style-type: none"> 1. 2. 3. 4. 	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes				
<input type="checkbox"/>	No				

Specify the framework within which the technology was promoted	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Local initiative</td> </tr> <tr> <td><input type="checkbox"/></td> <td>National initiative – government-led</td> </tr> <tr> <td><input type="checkbox"/></td> <td>National initiative – non-government-led</td> </tr> <tr> <td><input type="checkbox"/></td> <td>International initiative</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Programme/project-based initiative</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Other (specify) (max 30 words)</td> </tr> </table>	<input type="checkbox"/>	Local initiative	<input type="checkbox"/>	National initiative – government-led	<input type="checkbox"/>	National initiative – non-government-led	<input type="checkbox"/>	International initiative	<input type="checkbox"/>	Programme/project-based initiative	<input type="checkbox"/>	Other (specify) (max 30 words)
<input type="checkbox"/>	Local initiative												
<input type="checkbox"/>	National initiative – government-led												
<input type="checkbox"/>	National initiative – non-government-led												
<input type="checkbox"/>	International initiative												
<input type="checkbox"/>	Programme/project-based initiative												
<input type="checkbox"/>	Other (specify) (max 30 words)												

Was the participation of local stakeholders, including CSOs, fostered in the development of the technology?	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> <p>If yes, list local stakeholders involved:</p> <ol style="list-style-type: none"> 1. 2. 3. 4. 	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes				
<input type="checkbox"/>	No				

For the stakeholders listed above, specify their role in the design, introduction, use and maintenance of the technology, if any.	<i>max 250 words</i>
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Was the population living in the location and/or nearby involved in the development of the technology?

	Yes
	No

If yes, by means of what?

	Consultation
	Participatory approaches
	Other (specify) (max 30 words)

ANALYSIS

Section 5. Contribution to impact

Describe on-site impacts (the major two impacts by category)

Production or productivity:

1. (max 50 words)
2. (max 50 words)

Socio-economic level (including cultural level):

1. (max 50 words)
2. (max 50 words)

Environmental level:

1. (max 50 words)
2. (max 50 words)

Other (specify):

1. (max 50 words)
2. (max 50 words)

Describe the major two off-site (i.e. not occurring in the location but in the surrounding areas) impacts

1. (max 50 words)
2. (max 50 words)

Impact on biodiversity and climate change

In your opinion does the best practice/technology you have proposed positively impact on biodiversity conservation?

	Yes
	No

In your opinion does the best practice/technology you have proposed positively impact on climate change mitigation?

	Yes
	No

In your opinion does the best practice/technology you have proposed positively impact on climate change adaptation?

	Yes
	No

Explain the reasons:

max 250 words

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Has a cost-benefit analysis been carried out?

	Yes
	No

Section 6. Adoption and replicability

Was the technology disseminated/introduced to other locations?

	Yes
	No

If yes, where? (add as many rows as necessary)

Location:

Were incentives to facilitate the take up of the technology provided?

	Yes
	No

If yes, specify which type of incentives	<table border="1"> <tr> <td data-bbox="548 203 634 281"></td> <td data-bbox="634 203 1396 281">Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research and development support, etc)</td> </tr> <tr> <td data-bbox="548 281 634 331"></td> <td data-bbox="634 281 1396 331">Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)</td> </tr> <tr> <td data-bbox="548 331 634 382"></td> <td data-bbox="634 331 1396 382">Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)</td> </tr> </table>		Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research and development support, etc)		Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)		Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)						
	Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research and development support, etc)												
	Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)												
	Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)												
Can you identify the three main conditions that led to the success of the presented best practice/technology?	<p data-bbox="592 443 1396 596"><i>Examples of conditions leading to success may include: highly motivated local governments, farmers organized into well-structured cooperatives, extremely favourable weather conditions, etc. For each 'condition of success' you are able to identify, specify whether in your opinion such condition is: (a) linked to the local context and thus cannot be replicated elsewhere; (b) replicable elsewhere with some level of adaptation; (c) replicable elsewhere with major adaptation.</i></p> <p data-bbox="592 617 760 642">1. (max 50 words)</p> <p data-bbox="592 642 760 667">2. (max 50 words)</p> <p data-bbox="592 667 760 693">3. (max 50 words)</p>												
In your opinion, the best practice/technology you have proposed can be replicated, although with some level of adaptation, elsewhere?	<table border="1"> <tr> <td data-bbox="548 737 634 779"></td> <td data-bbox="634 737 1396 779">Yes</td> </tr> <tr> <td data-bbox="548 779 634 821"></td> <td data-bbox="634 779 1396 821">No</td> </tr> </table>		Yes		No								
	Yes												
	No												
If yes, at which level?	<table border="1"> <tr> <td data-bbox="683 869 769 911"></td> <td data-bbox="769 869 1396 911">Local</td> </tr> <tr> <td data-bbox="683 911 769 953"></td> <td data-bbox="769 911 1396 953">Sub-national</td> </tr> <tr> <td data-bbox="683 953 769 995"></td> <td data-bbox="769 953 1396 995">National</td> </tr> <tr> <td data-bbox="683 995 769 1037"></td> <td data-bbox="769 995 1396 1037">Subregional</td> </tr> <tr> <td data-bbox="683 1037 769 1079"></td> <td data-bbox="769 1037 1396 1079">Regional</td> </tr> <tr> <td data-bbox="683 1079 769 1121"></td> <td data-bbox="769 1079 1396 1121">International</td> </tr> </table>		Local		Sub-national		National		Subregional		Regional		International
	Local												
	Sub-national												
	National												
	Subregional												
	Regional												
	International												
Section 7. Lessons learned													
Related to human resources	<p data-bbox="548 1211 716 1236">1. (max 50 words)</p> <p data-bbox="548 1236 716 1262">2. (max 50 words)</p> <p data-bbox="548 1262 716 1287">3. (max 50 words)</p>												
Related to financial aspects	<p data-bbox="548 1295 716 1320">1. (max 50 words)</p> <p data-bbox="548 1320 716 1346">2. (max 50 words)</p> <p data-bbox="548 1346 716 1371">3. (max 50 words)</p>												
Related to technical aspects	<p data-bbox="548 1379 716 1404">1. (max 50 words)</p> <p data-bbox="548 1404 716 1430">2. (max 50 words)</p> <p data-bbox="548 1430 716 1455">3. (max 50 words)</p>												